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PATENT

DOCKET 13633 PCTUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEApplicant: LENDLEIN *et al.*

Examiner: To be assigned

Serial No.: 10/511,019

Group Art Unit: To be assigned

Filed: October 08, 2004

For: Method of Achieving a Shape-Memory Effect on Hair

Customer No.: 23719

Kalow & Springut LLP  
488 Madison Avenue, 19th Floor  
New York, New York 10022

October 18, 2005

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**REPLY TO NOTIFICATION OF MISSING REQUIREMENTS UNDER  
35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE  
& PETITION FOR EXTENSION OF TIME**

Sir:

This is a reply in response to the Notice of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office dated June 29, 2005. The period set to reply to the Notice of Missing Requirements expired on August 29, 2005. Applicants hereby request a petition for a two-month extension of time under 37 CFR 1.136(a) and enclose the requisite fee under 37 CFR 1.492(e) in the form of a check. Accordingly, the period set to reply to the Notice of Missing Requirements expires on October 29, 2005, and therefore this reply is timely filed. Enclosed herewith are the following:

Certificate of Mailing Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mark Daly  
(Signature)Mark Daly  
(Printed Name of Person Signing Certificate)10/18/05  
(Date)

Applicant: LENDLEIN *et al.*  
Serial No.: 10/511,019  
Filed: October 08, 2004  
Reply to Notification of Missing Requirements  
October 18, 2005  
Page 2 of 2

1. Copy of Notice of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office;
2. A declaration and power of attorney for the above-identified application duly executed by the inventors;
3. Two checks in the total amount of \$580.00 covering:
  - i) 130.00 for the surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) payable as a large entity; and,
  - ii) The \$450 fee under 37 CFR 1.136(a) for a two-month extension of time payable as a large entity.

If there are any questions or comments relating to this request, the Examiner is respectfully invited to contact Applicant's attorney at the telephone number set forth below.

If any additional fees are due, or an overpayment has been made, please charge, or credit, our Deposit Account No. 11-0171 for such sum.

Respectfully submitted,

  
\_\_\_\_\_  
Tor Smealand  
Registration No.: 43,131  
Attorney for Applicant

10/26/2005 ATRAN1 00000115 10511019

01 FC:1617  
02 FC:1252

130.00 OP  
450.00 OP

Kalow & Springut LLP  
(212) 813-1600



# UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/511,019	Andreas Lendlein	13633PCTUS
INTERNATIONAL APPLICATION NO.		
PCT/EP03/03734		
IA. FILING DATE	PRIORITY DATE	
04/10/2003	04/10/2002	
<b>CONFIRMATION NO. 8707</b>		
371 FORMALITIES LETTER		
*OC000000015293965*		

Date Mailed: 06/29/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/08/2004
- English Translation of the IA filed on 10/08/2004
- Copy of the International Search Report filed on 10/08/2004
- Copy of IPE Report filed on 10/08/2004
- Preliminary Amendments filed on 10/08/2004
- Information Disclosure Statements filed on 10/08/2004
- Copy of references cited in ISR filed on 10/08/2004
- U.S. Basic National Fees filed on 10/08/2004
- Priority Documents filed on 10/08/2004

K&S PATENT DEPT:	
ENTERED	7/5
ACTION	
DUE	8/29
INITIAL	

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

MAMIE P PERSON

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Telephone: (703) 308-9140 EXT 227

**PART 1 - ATTORNEY/APPLICANT COPY**

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/511,019	PCT/EP03/03734	13633PCTUS

FORM PCT/DO/EO/905 (371 Formalities Notice)